

People



James L. Severs

Associate, Corporate*

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vCard

James Severs is a corporate and securities lawyer who focuses his practice on issues affecting the asset management industry.

James advises clients with respect to transactional and regulatory matters, including the formation of privately offered investment funds; the formation, registration, and offering of publicly traded closed-end investment companies and business development companies; the operation of commodity pools; public securities offerings (including IPOs); and compliance with the Investment Advisers Act of 1940, Investment Company Act of 1940, Commodity Exchange Act, Securities Act of 1933, and the Securities Exchange Act of 1934.

In addition to his work related to the asset management industry, James is committed to ensuring access to justice for all through his participation in various pro bono initiatives.

Related Professional Experience

- Investment Adviser Association, Legal Intern, September 2015-April 2016
- U.S. Securities and Exchange Commission, Division of Enforcement, Honors Intern, January-April 2015

Areas of Experience

Corporate
Capital Markets
Investment Management

Education

J.D., The Catholic University of America, Columbus School of Law, 2016, *Catholic University Law Review*, magna cum laude
B.A., University of Kentucky, 2013

Admissions*

Bars

District of Columbia
*Not admitted to the practice of law in North Carolina