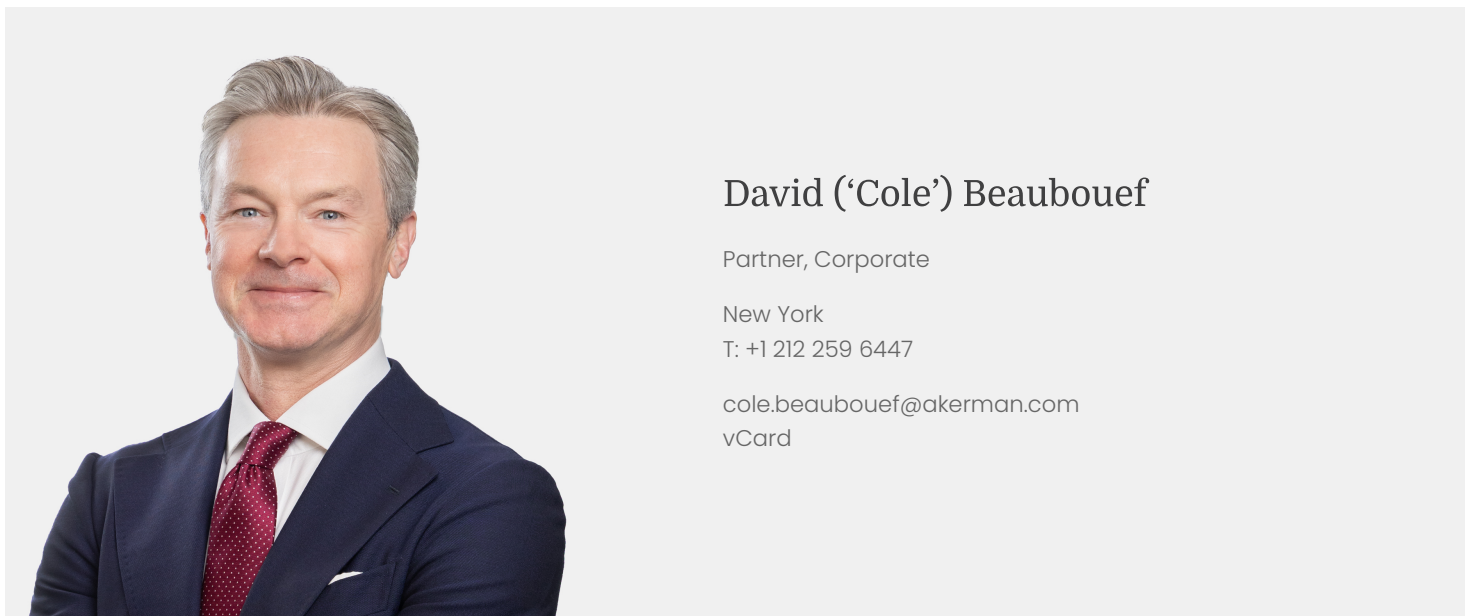


People



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Cole Beaubouef is an experienced investment management lawyer who advises clients on all aspects of their businesses. He has a strong track record of structuring hedge, private equity, venture capital, multi-strategy, distressed debt, and real estate funds, as well as other investment vehicles. Cole has significant experience representing U.S. and non-U.S. private fund managers, investment advisers, broker-dealers, commodity pool operators, and commodity trading advisors in formation and structuring, regulatory compliance matters, and mergers and acquisitions.

Cole's practice also includes guiding clients through complex regulatory compliance requirements and governance matters. He regularly advises clients on matters related to Securities Act, Securities Exchange Act, Investment Advisers Act, Investment Company Act, and Employee Retirement Income Security Act regulatory compliance. He has also assisted clients in the implementation of effective regulatory compliance programs.

In addition, Cole regularly represents institutional investors and family offices with private fund investments, as well as co-investment and joint venture initiatives with leading fund managers.

Related Professional Experience

- Merrill Lynch, Compliance Consultant, Office of General Counsel, 2005-2006
- New York Stock Exchange, Division of Enforcement, Intern, 2003

Published Work and Lectures

- *Metropolitan Corporate Counsel*, Co-Author, "Reminder: Rule 13h-1 (The Large Trader Reporting Rule) Compliance Date Is December 1, 2011; Effective Date Was October 3, 2011," November 2011
- *Bloomberg Law*, Author, "Financial Industry Regulatory Reforms Begin to Take Shape: What Has Happened and What It Means for Investment Management Firms and Professionals," July 2009

Areas of Experience

Corporate
Investment Management
Financial Services
Capital Markets
Corporate Governance
Distressed and Special Assets
M&A and Private Equity

Education

J.D., Brooklyn Law School, 2005, *Brooklyn Journal of International Law*, Associate Managing Editor
B.A., New York University, 1998, cum laude

Admissions

Bars

New York
New Jersey

Languages

French
Arabic

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