

People

Clinton T. Edgar

Of Counsel, Litigation

Austin

T: +1 737 999 7106

clinton.edgar@akerman.com

vCard

Clinton represents investment advisers, broker-dealers, and issuers in investigations and enforcement actions brought by state securities regulators, the Financial Industry Regulatory Authority (FINRA), and the U.S. Securities and Exchange Commission (SEC). He counsels financial services firms on regulatory and compliance matters under state and federal securities laws and self-regulatory organization rules. He also assists clients with litigation arising from regulatory inquiries and customer disputes.

Before entering private practice, Clinton spent 14 years at the Texas State Securities Board, rising from staff attorney in the Inspections & Compliance Division to Director of Registration and ultimately Deputy Securities Commissioner. Along the way, he led examinations and investigations of investment advisers and broker-dealers and oversaw the agency's registration process. He also played a leading role in multijurisdictional enforcement matters and worked alongside federal regulators and self-regulatory organizations on policy. His experience on every side of the regulatory process gives Clinton a clear view of how regulators build and resolve cases, which he uses to help clients anticipate scrutiny, respond effectively, and stay compliant.

Published Work and Lectures

- National Society of Compliance Professionals's Interactive Compliance Labs for BDs & IAs, Speaker, "Recommending Alternative or Complex Products," June 3, 2026

Related Professional Experience

- Texas State Securities Board, Texas Deputy Securities Commissioner, 2017-2024; Director of Registration, 2016-2017; Inspections & Compliance Division Attorney, 2011-2016

Honors and Distinctions

Areas of Experience

Litigation
Broker-Dealer
Financial Institutions Commercial Litigation
Financial Services
Securities Litigation

Education

J.D., Texas Tech University School of Law, 2008
B.B.A., Baylor University, 2005, cum laude

Admissions

Bars

Texas

Related Content

The SEC's Qualified Client Proposal: Broader Performance-Fee Access, Narrower State Oversight
October 02, 2026

- North American Securities Administrators Association, Recipient of Distinguished Service Award

Affiliations

- North American Securities Administrators Association, Past Member
 - Broker-Dealer Operations Project Group, Past Chair
 - Regulation Best Interest Implementation Exams Project Group, Past Co-Chair
 - Investment Adviser Training, Past Co-Chair
 - Broker-Dealer Training Project Group, Past Member