

## Sectors

# Financial Services

The financial services sector is tested regularly. Major institutions find themselves in the crosshairs of regulatory agencies, faced with investigations that can tarnish the reputation of the institution and its principals. Evolving laws governing the sector create a minefield of legal challenges and compliance upkeep. Large institutions are the targets of class action or shareholder suits that can break a business or tie it up in costly litigation for years. From every side—including inside—there are challenges that require experienced, measured counsel.

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“Akerman is a great go-to firm in all respects.”

*The Legal 500*

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The financial services sector is in our DNA. Akerman lawyers and business professionals have served as counselors to sector stakeholders for nearly a century, helping craft U.S. and international statutes and policies, navigate governmental oversight, capture cross-market opportunities, and protect and advance business agendas. We represent the leading banks, consumer financial service providers, auto finance companies, broker-dealer firms, investment and private equity funds, property and casualty insurers, and health insurers in sophisticated transactions, disputes, and regulatory matters across the Americas.

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## Connect With Us

### Our Team

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## Related Work

Bankruptcy and Reorganization  
Capital Markets  
Consumer Financial Services, Data and Technology (CFS+)  
Corporate Finance and Lending  
Data Privacy and Security  
EB-5 Immigrant Investor Program  
Financial Institutions  
Commercial Litigation  
Fraud and Recovery  
Government Strategies  
Health Insurers and Managed Care Organizations  
Insurance Litigation  
Insurance Regulation  
International  
Investment Management  
Labor and Employment  
M&A and Private Equity  
Public Finance  
Real Estate Financing  
Securities Litigation  
Vehicle and Equipment  
Leasing Taxation

## Longstanding Commitment

More than 200 of our professionals are dedicated to the financial services sector, with many having served as regulators and enforcement officials for governmental agencies as well as executives and in-house counsel for global financial institutions.

We work seamlessly across all areas of practice, including corporate governance, securities and capital markets, mergers and acquisitions, real estate and corporate finance, federal and 50-state regulatory compliance and enforcement, bankruptcy and restructuring, financial litigation, fraud and recovery, data privacy and security, tax controversy, labor and employment, public policy, and patent protection. Given the support of every business unit at Akerman, we provide comprehensive counsel and full-service representation in any scenario, at any stage of the business life cycle.

Our longstanding commitment to the financial services sector has earned us accolades and recognition by *Chambers USA*, *The Legal 500*, and *U.S. News – Best Lawyers*, among others. Ultimately, our client relationships—many enduring for decades—are the true measure of our success.

## What We Do

- Banks and Financial Service Providers
  - Loan transactions
  - Class and complex action defense
  - High-volume litigation and case management—trial and appellate levels
  - Federal and 50-state examinations, investigations and enforcement
  - Regulatory compliance
  - Fraud and title-related claim investigation and recovery
  - Workouts and restructurings

- Tax
- Data security
- Labor and employment
- Insurance Companies
  - Complex litigation
  - Investigation and litigation of complex fraud schemes
  - Class action litigation
  - Coverage issues
  - Regulatory compliance
  - Appeals
- Private Equity Firms and Investment Funds
  - M&A transactions
  - Fund formation
  - Financing
  - Litigation
  - Shareholder class action defense
  - Tax